

ASEEM INFRASTRUCTURE FINANCE LIMITED

Public disclosure on liquidity risk as of September 30, 2020

The disclosure in terms of RBI circular ref. DOR.NBFC(PD)CC.NO.102/03.10.001/2019-20 dated November 04, 2019 on liquidity risk management

i) Funding concentration based on significant counterparty

Sr no	No of significant counterparties	Amount	% of Total deposits	% of Total Liabilities
	Nil	Nil	Nil	Nil

ii) Top 20 large deposits: Nil

iii) Top 10 borrowings: Nil

iv) Funding concentration based on significant instrument/product

Sr no	Name of instrument	Amount	% of Total Liabilities
	Nil	Nil	Nil

v) Stock ratios:

Sr no	Name of instrument	As a % of total public funds	As a % of total liabilities	As a % of total assets
a)	Commercial papers	Nil	Nil	Nil
b)	Non Convertible	Nil	Nil	Nil
c)	Other short term	Nil	Nil	Nil

vi) Institutional set-up for liquidity risk management

The Company has not made any borrowings as of September 30, 2020. The Company has instituted Asset Liability Management Policy under which the Asset Liability Management Committee (ALCO) has been set up for oversight Asset Liability Management (ALM), including liquidity risk management. The overall ALM framework as well as liquidity risk is managed by -

- (i) **Board**-which provides the overall direction for the Policy and framework
- (ii) **Risk Management Committee**-comprises of two Nominee Directors of NIIF Fund II, Nominee of NIIF Fund II and Chief Executive Officer (CEO). It reviews and monitors the activities of Asset Liability Management Committee (ALCO)
- (iii) **ALCO**-comprises of Nominee Director of NIIF Fund II, Nominee of NIIF Fund II, Chief Executive Officer (CEO), Chief Financial Officer (CFO), Head Risk and Head Business. It is a decision making body responsible for strategic management of interest rate and liquidity risks
- (iv) **Asset Liability Management Support Group**-which consist of operating staff from Risk, Finance & Accounts and Treasury group, who analyse/monitor liquidity profile, limits & report to ALCO & RBI.
- (v) **Finance Committee**-comprises of CEO, CFO and Head Risk which is authorised to borrow monies through various instruments permitted by RBI